

EQUITY EXPRESS SECURITIES EXCHANGE (PTY) LTD PRIVACY AND COOKIES POLICY

Any capitalised terms used herein, but not defined shall have the meaning given to them in section 1 of the EESE Rules. The same rules of interpretation apply.

WHO WE ARE

This website eese.co.za ("**the EESE Website**"), and the EESE Trading System, is operated by Equity Express Securities Exchange Proprietary Limited ("**EESE**" or "**we**" or "**us**"). EESE is a licenced exchange in terms of section 9 of the Financial Markets Act. Further details are set out on the EEES Website and the EESE Rules.

PURPOSE OF THIS PRIVACY AND COOKIES POLICY

We are committed to the privacy and confidentiality of information provided to us.

This Privacy and Cookies Policy explains how we obtain, use and disclose your personal information or data collected by EESE Authorised Users from you directly and/or through the EESE Website, as is required by the Protection of Personal Information Act ("**POPI**").

Personal information or data means information which identifies you, and includes information such as your name, email address, telephone number, your Shareholder Number and password, as well as any other personal information or data collected, or any other personal information as defined in Section 1 of POPI.

NOTIFICATION OF CHANGES TO PRIVACY AND COOKIES POLICY

We are continually improving our methods of communication and adding new functionality and features to the EESE Website. Because of these ongoing changes, changes in the law and the changing nature of technology, our data protection practices will change from time to time. If and when our data protection practices change, we will update this Privacy and Cookies Policy to describe our new practices. We encourage you to check this page regularly.

COLLECTION OF YOUR PERSONAL INFORMATION OR DATA

EESE Authorised Users may collect the following information on the EESE Website about our users (which may include sellers and purchasers of EESE Listed Securities) ("**Clients**"):

- Title
- First Name
- Last Name
- ID Number
- Date of Birth
- Gender
- Race
- Cell Phone number
- Telephone number
- Email address
- Residential Address
- Postal Address
- Country of residence
- Tax Reference Number

- Any other information which we may require from you, including information which is required in terms of applicable laws, including FICA.

OUR USE OF YOUR PERSONAL INFORMATION OR DATA

Uses of personal information

All documents collected by the EESE Authorised User for FICA verification must, in terms of the EESE Rules, be stored electronically on the EESE Trading System.

By accepting the EESE Rules, you agreed that the documents referred to above, and any of your personal information submitted to the EESE Trading System by you, or by an EESE Authorised User on your behalf, will be made available to all other EESE Authorised Users to utilise for that EESE Authorised User's FICA verification process and for any other purpose specifically provided for in the EESE Rules, which includes, amongst other things, permission to:

- use your personal information for purposes of registering transfers of securities, processing trades, matching trades, arranging payments, and sending you notices;
- collect, get, receive, record, organise, collate, store, update, change, retrieve, read, use and share all of the personal information and telephone recordings with third parties, including our service providers;
- transfer and/or store your personal information outside of South Africa; and
- use your personal information for any other legally permissible purpose in accordance with Section 15(3) of POPI.

You may request an EESE Authorised User at any time to correct or confirm any personal information if it is wrong or out of date.

You warrant that you have the authority to permit us to deal with your personal information as indicated above and you indemnify us against any loss which we may suffer in the event that you did not have the proper authority to permit us to deal with your personal information as set out above.

We will endeavour to take all legally permissible measures to protect your personal information, but we are not responsible for any loss or damage which you may suffer as a result of unauthorised uses of your personal information, including, but not limited to, any damage or losses which you may suffer as a result of any identity theft. We will notify you and the Information Regulator of any security breaches or compromise of your personal information as soon as possible follow such breach or compromise.

DISCLOSURE OF YOUR PERSONAL INFORMATION OR DATA

We do not share, sell or distribute your personal information or data with unrelated third parties, except as otherwise provided for in this Privacy and Cookies policy and under these limited circumstances:

- We may share your information with EESE Authorised Users, Representatives, Issuers, and Clients, for purposes of facilitating transactions in EESE Listed Securities.
- In order to provide you with the information, services or products which you have requested, your personal information or data may occasionally be transferred or shared with third parties who act for us for further processing in accordance with the purposes for which the personal information or data was originally collected or for purposes to which you have subsequently consented. For example, sometimes a third party may have access to your personal information or data in order to support our information technology or to handle mailings on our behalf.

- We may share, transfer or disclose the information in our databases and server logs to comply with a legal requirement, for the administration of justice, interacting with anti-fraud databases, to protect your vital interests, to protect the security or integrity of our databases or the EESE Website, to take precautions against legal liability, or in the event of our sale, merger, reorganisation, dissolution, disposal of all or part of our assets or similar event.

ANONYMOUS DATA COLLECTED THROUGH THE EESE WEBSITE

In addition to the information we collect as described above, we use technology to collect anonymous information about the use of the EESE Website. For example, our web server automatically logs which pages of the EESE Website our visitors view, their IP addresses and which web browsers our visitors use. This technology does not identify you personally; it enables us to compile statistics about our visitors and their use of the EESE Website.

The EESE Website contains links to other pages on the EESE Website. We may use technology to track how often these links are used and which pages on the EESE Website our visitors choose to view. Again this technology does not identify you personally – it simply enables us to compile statistics about the use of these links.

Likewise, if you reached the EESE Website by clicking on a link or advertisement on another website, we also log that information. This assists us to maximize our Internet exposure as well as understand the interests of our users. All of this information is collected and used only in the aggregate: it is entered into our database, where we can use it to generate overall reports on our visitors, but not reports about individual visitors.

COOKIES

In order to collect the anonymous data described in the preceding paragraph, we may use temporary “cookies” that remain in the cookies file of your browser until the browser is closed.

Cookies are small, often encrypted text files, located in browser directories. They help users navigate websites efficiently and allow certain functions, such as buying products online. When you visit websites, cookies may be stored on your computer. They are used to store a variety of information about you and your preferences, which is afterwards sent back to the visited website.

Cookies by themselves cannot be used to discover your identity. Cookies do not damage your computer. You can set your browser to notify you when you receive a cookie. This enables you to decide if you want to accept it or not. If you choose not to accept cookies from the EESE Website this may limit its functionalities or performance.

Our use of cookies is to enable more user-friendly, efficient and safe visits to the EESE Website. We use cookies to -

- identify you as a registered user;
- provide you with safe restricted access areas;
- remember you so that when you come back to the EESE Website you do not need to enter your information again; and
- understand what brought you to the EESE Website and what pages you visited.

Besides these, we may also use cookies which enable us to save your preferences from each of your visits to the EESE Website and present you with a version of the EESE Website which reflects your preferences. Our system is therefore able to recognize you each time you visit the EESE Website, without requiring you to systematically log in each time you visit.

You can find more information about cookies at: <http://www.allaboutcookies.org/> and for a video about cookies visit <http://www.google.com/policies/technologies/cookies/>.

We also use your IP address to help diagnose problems with our server, to administer the EESE Website and to gather broad demographic information.

We may also perform IP lookups to determine which domain you are coming from (i.e. yourcompany.com) to more accurately gauge our users' demographics.

ACCESS AND CORRECTIONS TO PERSONAL INFORMATION OR DATA

You have the right to ask us to update, correct or delete your personal information. You may do this by logging into your Client Trading Account or requesting your EESE Authorised User to do so on your behalf, to review and change your personal information or by contacting us at the numbers/addresses provided below.

Upon receipt of your written request and enough information to permit us to identify your personal information or data, we will disclose to you the personal information or data we hold about you, for which we may make a charge as allowed by applicable law. You can also obtain this from your EESE Authorised User.

Your EESE Authorised User will also correct, amend or delete any personal information or data that you tell us is inaccurate and notify any third party recipients of the necessary changes. You may update any information you have given to us by contacting [the](#) EESE Authorised User.

Requests to delete personal information or data are subject to any applicable legal and ethical reporting or document retention obligations imposed on us.

UNAUTHORISED THIRD PARTY ACCESS TO YOUR INFORMATION

Despite the security measures we have in place to protect your personal information or data, firewalls, password access and encryption methods and the like you acknowledge that your personal information stored by us, may be accessed by unauthorised third party, e.g. as a result of an illegal activity.

In the unlikely event of such access by an unauthorized third party, we will use our best endeavors to notify you via email (using the address you have provided to us, if any) of such occurrence.

LINKS TO OTHER WEBSITES

The EESE Website may contain links to websites that are not operated by us. These links are provided for your reference and convenience only and do not imply any endorsement of the activities of these third party websites or any association with their operators. We do not control these websites and are not responsible for their data or privacy practices. We urge you to review any Privacy and Cookies Policy posted on any website you visit before using the website or providing any personal information or data about yourself and others.

TRANSFER OF DATA ABROAD

If you are visiting the EESE Website from a country other than the country in which our servers are located within South Africa, the various communications will necessarily result in the transfer of information across international boundaries.

By visiting the EESE Website and communicating electronically with us, you consent to the processing and transfer of your personal information or data as set out in this Privacy and Cookies Policy.

We may also transfer your personal information or data to other countries, in which case we will comply with any relevant data protection laws, which may not be similar to the data protection laws of the Republic of South Africa.

FEEDBACK

We welcome comments about this Privacy and Cookies Policy. If you have any questions about this Privacy and Cookies Policy or any part of our service, you may contact us by e-mailing info@eese.co.za or by writing to us at EESE, PO Box 1266, Bramley, 2018. We may store any correspondence from you.

INFORMATION REGULATOR

You have the right to complain to the Information Regulator, whose contact details are:

Information Regulator

Tel: 012 406 4818

Fax: 086 500 3351

Email: infoereg@justice.gov.za